

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
DC4998CIP1

In Re Application: Fecht, et. al.

Serial No.

Filing Date

Examiner

Group Art Unit

Substituted Hydrocarbyl Functional Siloxanes for Household, Health, and Personal Care Applications

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

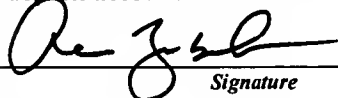
Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.


Signature

Dated:

4/19/2004

Alan Zombeck

Reg. No. 45,260

Dow Corning Corporation

Intellectual Property Dept. - CO1232

P.O. Box 994

Midland, Michigan 48686-0994

customer no. 00137

cc:

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)

DC4998CIP1

Application Number

Applicant(s)

Fecht, et. al.

Filing Date

Group Art Unit

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,060,044	5/9/2000	Cretois, et. al.	424	70.12	
		5,486,566	1/23/1996	Katsoulis, et. al.	524	773	
		5,623,017	4/22/1997	Hill	524	860	
		6,268,519 B1	7/31/2001	Nye	556	445	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		EP 0078597	5/11/1983	Europe				
		WO 95/23579	9/8/1995	Europe				
		WO 03/32929	4/24/2003	Europe				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Margaret Moore/	DATE CONSIDERED	03/23/2011
----------	------------------	-----------------	------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
DC4998CIP1

In Re Application Of: **Fecht, et. al.**

Serial No.

Filing Date

Examiner

Group Art Unit

Title: **Substituted Hydrocarbyl Functional Siloxanes for Household, Health, and Personal Care Applications**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).